# Punch

## A BOUTIQUE INVESTMENT ADVISORY



Years at Punch: <1

# Years in the Industry: 13

#### Personal Interests:

- Coaching
- Family
- Reading
- Traveling

Contact: tspicer@punchinvest.com

### Todd Spicer, JD, IACCP<sup>®</sup>, CAMS, CFE *Chief Compliance Officer*

Todd has an extensive legal and regulatory compliance background in the financial services industry. Todd joined the Punch team in June 2023 after several years as the Chief Compliance Officer for other large local firms. Todd is a skilled compliance professional with a focus on developing, implementing, and maintaining robust Compliance Programs. Above all, Todd enjoys working with his business partners to ensure processes and procedures are pragmatic and effectively implemented.

Todd received his BS in Business Management and Administration and a minor in Economics from Bradley University and also received his JD from Hamline University School of Law. Todd maintains several certifications including the Investment Adviser Certified Compliance Professional designation, the Certified Anti-Money Laundering Specialist designation and the Certified Fraud Examiner designation.

Todd is an avid sports fan and when not cheering on his local professional teams (and looking forward to the next 1987 or 1991 type season) he can likely be found on a local field coaching or cheering on his four children with his wife in Mendota Heights or playing at home with his two dogs and two cats.

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